

Attorney's Docket No. 003660.P001X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Yoav Shoham

Application No.: 09/339,325

Filed: June 23, 1999

For: A METHOD AND AN APPARATUS
FOR A UNIVERSAL TRADING
MARKET DESIGN AND
DEPLOYMENT SYSTEM

Examiner: Young, John L.

Art Unit: 3622

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 11/29/04 *Mail Stop Amendment*
(Date of Deposit)

Judy L. Steinkraus
(Typed or printed name of person mailing correspondence)

[Signature]
(Signature of person mailing correspondence)

(Date)

12/03/2004 CCHAU1 00000081 09339325

12/03/2004 CCHAU1 00000081 09339325

02 FC:1806

180.00 OP

Application No.: 09/339,325

- 1 -

Docket No.: 003660.P001X

00000081-09339325

12/03/2004 CCHAU1

110-00-00

03-FC-1806

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


- _____ 37 C.F.R. §1.97(b).
- X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- _____ A statement pursuant to 37 C.F.R. §1.97(e) or
- X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- _____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Nov. 29, 20 04



Suk S. Lee
Reg. No. 47,745

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8300

(use as many sheets as necessary)

Application Number	09/339,325
Filing Date	June 23, 1999
First Named Inventor:	Yoav Shoham
Art Unit	3622
Examiner Name	Young, John L.
Attorney Docket Number	003660.P001X

Attorney Docket Number	003660.P001X
------------------------	--------------

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

[illegible]

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

If you need assistance completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.